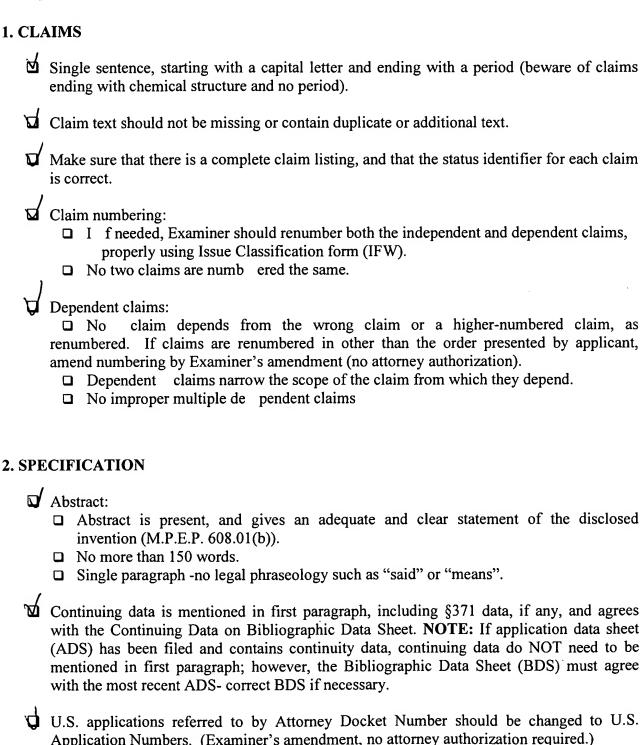
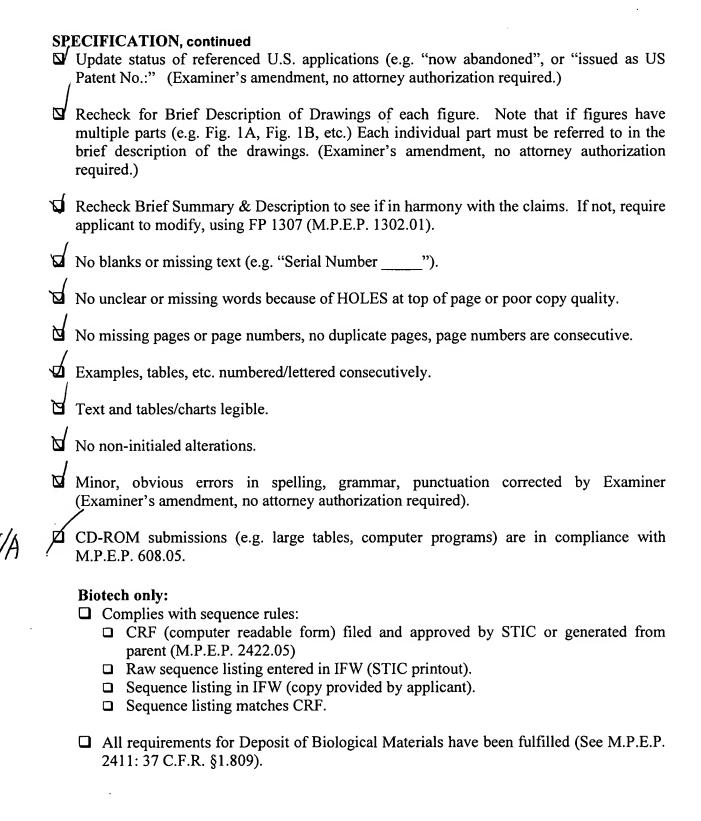
Practice and Procedures

Allowance and Issue Check List

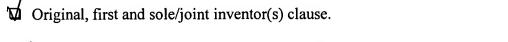
EXAMINER'S ISSUE CHECKLIST

When passing an application to issue, each of the following items should be checked:





3. OATH OR DECLARATION



- Contains "reviewed and understands" and "duty of disclosure" statements, CIP oath states duty to disclose intervening art.
- Names of applicants on bibliographic data sheet same as in oath/declaration, unless there has been a request under 37 C.F.R. §1.48 to delete one or more inventors, and that request has been granted.
- Full given name for each applicant (M.P.E.P. 605.04(b)).
- Signature, Address and Citizenship for each inventor. (Address may be found on Application Data Sheet, see M.P.E.P. 601.05).
- Any alterations initialed and dated.
- Foreign priority includes country, serial number and filing date (check against bibliographic data sheet). (May be found on Application Data Sheet, see M.P.E.P. 601.05).
- Continuing data, if any, should be mentioned in the first sentences of the specification or ADS (not the oath or declaration). Especially in cases where an oath or declaration from a prior application is used, the continuity data may differ, and no objection should be made to the inclusion or lack or inclusion of continuity data in the oath. Benefit under 35 U.S.C. §120 should only be given if the application is mentioned in the first sentences of the specification, or on the ADS. See M.P.E.P. 601.05.

4. APPLICATION DATA SHEET

If more than one Application Data Sheet is present, only the most recent one is considered.

If present, must be compared with Oath/Declaration. If any discrepancies, a notice of defective oath or declaration should be sent. (M.P.E.P. 601.05)

5. PTO/SB/08 (former PTO-1449): INFORMATION DISCLOSURE STATEMENT (M.P.E.P 609)

- U.S. Patents-identified by patent number, patent date (Month-Year), and patentees.
- Foreign published applications and patents-identified by document number, publication date (Month-Year) and country or office.
- Printed publications-identified by author (if any), title, publication date (Month-Year), volume and issue number (if known), and pages relied on.





- Examiner should draw a line through each citation not considered.
- For each US patent considered, Examiner should insert the relevant classification or draw a line in the space provided for classification information.
- Sign and date each page.

6. PTO-892 NOTICE OF REFERENCES CITED (M.P.E.P 707.05)

- If applicants have not filed an information disclosure statement, the Examiner must cite references using a PTO-892, and the references must be appropriately addressed in an office action or in the notice of allowability. References cited at the time of allowance should be scanned into e-DAN, but do not need to be sent to applicants.
- U.S. Patents-identified by patent number, patent date (Month-Year), and patentees. If class/subclass not provided, line through appropriate boxes.
- Foreign published applications and patents-identified by document number, publication date (Month-Year) and country or office. If classification not provided, line through appropriate boxes.
- Printed publications-identified by author (if any), title, publication date (Month-Year), volume and issue number (if known), and pages relied on.

7. DRAWINGS

Figure selected for printing must be consistent with claim selected for printing, and must be referred to in the abstract. If no figure is to be printed, write "none" in the appropriate box on the Issue Classification Sheet (IFW form).

Do not select a figure labeled as "prior art" for printing.

If color photographs or drawings are present, check M.P.E.P. 608.02 for requirements.

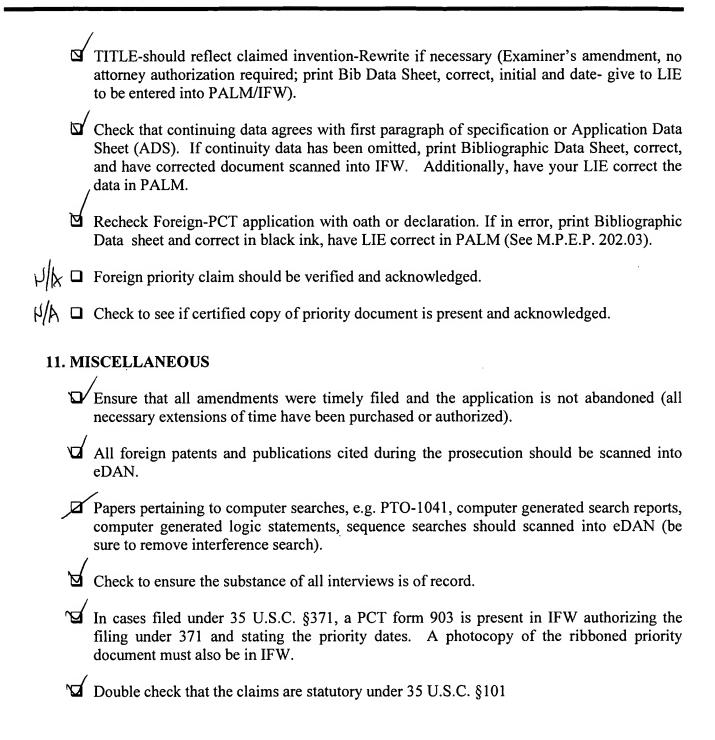
8. IFW SEARCH NOTES FORM:

- Update and complete Searched Box, Search Notes Box and Interference Searched Box.
- Search Notes Box:
 - Parent files checked
 - Record consultations with other examiners, primaries, SPEs, SPREs and TQASs.
 - Database searches recorded, with "printout attached" indicated, or search strategy set forth; specific vendors and files listed (e.g. Dialog, files 5, 55).
 - Inventor/assignee search noted for possible double patenting issues.
- Interference Searched Box:
 - ☑ Lists both original class and subclass and all cross-referenced classes and subclasses.
 - Indicates interference search of claimed sequences (Biotech).
 - Search the broadest claims on EAST or WEST for all original and cross-referenced classes and subclasses. Make sure PG-PUB file is searched.
 - Provide copies of search results in case.

9. IFW ISSUE CLASSIFICATION FORM:

- ☑ Assistant Examiner and Primary Examiner spaces should be completed.
 ☑ Primary Examiner must SIGN above their typewritten name.
- ☐ Update FINAL classification
- Complete classification cross-references.
- Complete INTERNATIONAL CLASSIFICATION- available on PTO intranet.
- Print claim should be the most comprehensive independent claim that conveys the nature of the invention. If dependent claim is printed, independent claim from which it depends must also be printed.
- ☐ If only one claim is being allowed, write "the" in the "print claim" box instead of "1".
- ☐ If there is a Terminal Disclaimer print IFW terminal disclaimer sheet.
- ☐ Index of Claims no longer required upon allowance, issue classification form is sufficient.

10. BIBLIOGRAPHIC DATA SHEET:



Patentability Conference Form

Conference Date of 2/24/2006

Mentor's Name	Examiner Name	Art Unit	Application #
Kamal Saeed	Yong Chu	1626	10/505,337

	Conference Attendees
1	Joseph McKane
2	Kamal Saeed
ပ	3 Yong Chu

Outcome	Primary Exam?	
Allow		1 (2)
Allow- Modified	X	140
Non-Final		

Final

Yes

N_O

Issue Discussed	Pending	Missing	Pending Missing Discussion
101 ODP			
101 Utility			
101 Non-Statutory			×
112- 1st Enablement			×
112- 1st Written Disc.			×
112- 2nd			×

If case
not al
lowed,
If case not allowed, explain why not
n why
not

REMINDER: CHECK ODP

Time Spent=

103 ODP

CHECK FOR NEW MATTER

× ×

12. ITEMS TO BE PLACED IN RED FOLDER

LEFT SIDE OF FOLDER:
(Papers to be scanned but not mailed)
☐ PTO-1472 Case Action Worksheet (Count Sheet)
☐ Issue Classification form (IFW)
☐ IFW-Application Number
☐ IFW-Search Notes including Interference search in PG-PUBs
☐ IFW-Terminal Disclaimer, if applicable
☐ Initial and update Bibliographic Data Sheet
RIGHT SIDE OF FOLDER:
(Papers to be mailed to applicant)
☐ PTO-37 Notice of Allowability
☐ Examiner's Amendment (if applicable)
☐ Reasons for Allowance (if applicable)
☐ PTO-892 and/or PTO-1449 (if applicable)
☐ Any newly cited NPL or Foreign Patent or publication cited on PTO-892